

Babbage Financial Pty Ltd

Financial Services Guide

ABN 69 664 026 304 | Authorised Representative No: 1300853

Version number: 24.02

PART TWO – ADVISER PROFILE

This adviser profile is Part Two of the Count Financial Limited Financial Services Guide (FSG) dated 1 March 2024 and should be read in conjunction with Part One of our FSG dated 1 March 2024. Together these documents form the complete FSG.

Babbage Financial Pty Ltd is an Authorised Representative of Count Financial Limited (Count).

The individual(s) listed in this FSG are authorised by Count to provide personal advice through Babbage Financial Pty Ltd.

Our contact details:

Address: Suite 3/ 191 Riversdale Road, Hawthorn VIC 3122
Phone: (03) 9819 1988 Fax: (03) 9819 2465
Email: info@babbage.com.au Web: www.babbage.com.au

David Vickers



Authorised Representative Number: 1001539

David is an Authorised Representative of Count and an employee of Babbage Financial Pty Ltd, and receives a salary only.

David has over 28 years of experience in the provision of financial services and 18 years' experience in the provision of financial planning advice. David attained a Masters Degree in Business from Monash University in 2002. He is a SMSF Specialist Adviser (SSA), a Certified Financial Planner (CFP) and a member of both the Financial Planning Association of Australia (FPA) and Self-Managed Super Fund Association (SMSF Association).

Financial services and product types

David is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, stocks and bonds
- Life products
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Margin lending, and
- Superannuation.

How to contact me: david@babbage.com.au or (03) 9819 1988

Advice preparation and implementation fees

Prior to the provision of personal advice, we will agree upon a preferred payment option and disclose how our fee is calculated. Below is a summary of our available payment options that can be combined to pay for our services. We will provide you with a quote for our services before we undertake any work on your behalf.

These fee options include:

*Time based charging

- i) The fee for the preparation and implementation of our advice is calculated based upon the time we spend developing the plan. Our hourly rate is \$330 per hour (incl. GST).

Price can vary depending on scope and complexity of the advice and we will provide you with an estimate of the overall cost. If extra charges apply, then we will inform you before proceeding with any work.

*Service based charging

- ii) The fee for the preparation and implementation of our advice is calculated based upon a fixed price agreement. This fixed dollar amount will vary based upon the complexity of advice being provided and agreed upon prior to commencement. Our minimum fee for this is \$3,300 (incl. GST)

If you decide not to implement our recommendations, the fee for the preparation of the Statement of Advice will be payable in full.

Supplementary service fees

For supplementary services, such as the provision of general research material or the completion of administrative tasks, our fee will be calculated on a time basis of \$330 per hour (incl. GST).

Where you enter into an Ongoing Service Arrangement, Supplementary services are included, and will not be provided at an additional cost.

Ongoing & Fixed Term service fees

Our ongoing advice fees vary depending on scope and complexity and range from \$2,200 to \$22,000 (incl. GST) unless otherwise agreed. The exact cost of the ongoing review service will depend on the review offering we recommend and this will be disclosed within the Ongoing or Fixed Term Service Agreement we provide to you.

Should you require any additional services outside of any agreement between you and your adviser, an amount of up to \$330 per hour (incl. GST) may be applied.

Non-advised transaction fees

If we assist you on an execution only basis (ie where you have been offered and declined advice), a fee of up to \$330 per hour (incl. GST) will be applicable.

Referral arrangements

I may refer you to a third party for advice or services. Should this occur, you are not obliged to consult the professional person I have suggested. If you wish to consult a non-referral partner, I can provide additional options.

Other associations and relationships

Jim Babbage is a director and shareholder of Babbage Financial Pty Ltd, Babbage and Moore Advisory Pty Ltd trading as Babbage and Co. and Babbage Finance Pty Ltd which are all separate entities.

Other third-party payments we may receive

We may also receive the following fees, which are not payable by you.

Stamping Fees

Where we facilitate Share Placements and Initial Public Offers in relation to ASX-listed Direct Equities (i.e. Shares - this excludes Listed Investment Companies and Trusts), we may receive up to 1.5% of the transaction value as "stamping fees".

Insurance Commissions

Where we facilitate the acquisition, or modification of a Life Insurance product, we may receive commissions as described in Part 1 of this FSG.

Where we provide advice in relation to the above transactions and charge an advice fee, we may choose to rebate part or all of the third-party payment, to you.